

04/204  
13281 U.S. PTO

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PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. Y0647.0149

First Inventor Daiji Ishii

Title RAKE RECEPTION METHOD AND APPARATUS

Express Mail Label No.

19587 U.S. PTO  
10/821912  
04/204

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 22]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets 1]
- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

MS Patent Application  
ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a.  Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or ii.  Paper  
c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of Cited References
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

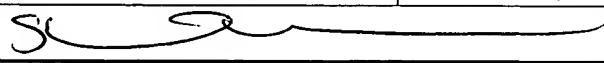
Prior application information: Examiner \_\_\_\_\_

Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|  |   |           |                |  |                |
|--|---|-----------|----------------|--|----------------|
| <input checked="" type="checkbox"/> Customer Number: | 32172   |           |                | <input checked="" type="checkbox"/> Correspondence address below |                |
| Name   | DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP<br>Michael J. Scheer |           |                |  |                |
| Address  | 1177 Avenue of the Americas<br>41st Floor                   |           |                |  |                |
| City   | New York  | State     | NY             | Zip Code   | 10036-2714     |
| Country  | US  | Telephone | (212) 835-1400 | Fax  | (212) 997-9880 |

|                   |  |                                   |        |                |
|-------------------|--|-----------------------------------|--------|----------------|
| Name (Print/Type) | Steven I. Weisburd   | Registration No. (Attorney/Agent) | 27,409 |                |
| Signature         |  |                                   | Date   | April 12, 2004 |

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **(\$)** **810.00**
**Complete if Known**

|                      |                       |
|----------------------|-----------------------|
| Application Number   | Not Yet Assigned      |
| Filing Date          | Concurrently Herewith |
| First Named Inventor | Daiji Ishii           |
| Examiner Name        | Not Yet Assigned      |
| Art Unit             | N/A                   |
| Attorney Docket No.  | Y0647.0149            |

**METHOD OF PAYMENT (check all that apply)**
 Check  Credit Card  Money Order  Other  None

 Deposit Account:

Deposit Account Number

50-2215

Deposit Account Name

Dickstein Shapiro Morin &amp; Oshinsky LLP

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code            | Fee (\$) | Fee Code    | Fee (\$) | Fee Description        | Fee Paid |
|---------------------|----------|-------------|----------|------------------------|----------|
| 1001                | 770      | 2001        | 385      | Utility filing fee     | 770.00   |
| 1002                | 340      | 2002        | 170      | Design filing fee      |          |
| 1003                | 530      | 2003        | 265      | Plant filing fee       |          |
| 1004                | 770      | 2004        | 385      | Reissue filing fee     |          |
| 1005                | 160      | 2005        | 80       | Provisional filing fee |          |
| <b>SUBTOTAL (1)</b> |          | <b>(\$)</b> |          | <b>770.00</b>          |          |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Extra Claims         | -20** = | x | Fee from below | = | Fee Paid |
|----------------------|---------|---|----------------|---|----------|
| Total Claims 9       | -20** = |   |                | = | 0.00     |
| Independent Claims 2 | -3** =  |   |                | = | 0.00     |
| Multiple Dependent   |         |   |                | = |          |

Large Entity Small Entity

| Fee Code            | Fee (\$) | Fee Code    | Fee (\$) | Fee Description  |
|---------------------|----------|-------------|----------|--|
| 1202                | 18       | 2202        | 9        | Claims in excess of 20                                     |
| 1201                | 86       | 2201        | 43       | Independent claims in excess of 3                          |
| 1203                | 290      | 2203        | 145      | Multiple dependent claim, if not paid                      |
| 1204                | 86       | 2204        | 43       | ** Reissue independent claims over original patent         |
| 1205                | 18       | 2205        | 9        | ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> |          | <b>(\$)</b> |          | <b>0.00</b>  |

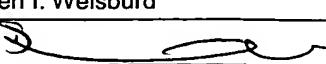
\*\* or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

| Large Entity                      | Small Entity | Fee Description  | Fee Paid |
|-----------------------------------|--------------|--|----------|
| 1051                              | 130          | 2051 65 Surcharge – late filing fee or oath  |          |
| 1052                              | 50           | 2052 25 Surcharge – late provisional filing fee or cover sheet                     |          |
| 1053                              | 130          | 1053 130 Non-English specification   |          |
| 1812                              | 2,520        | 1812 2,520 For filing a request for ex parte reexamination                         |          |
| 1804                              | 920*         | 1804 920* Requesting publication of SIR prior to Examiner action                   |          |
| 1805                              | 1,840*       | 1805 1,840* Requesting publication of SIR after Examiner action                    |          |
| 1251                              | 110          | 2251 55 Extension for reply within first month                                     |          |
| 1252                              | 420          | 2252 210 Extension for reply within second month                                   |          |
| 1253                              | 950          | 2253 475 Extension for reply within third month                                    |          |
| 1254                              | 1,480        | 2254 740 Extension for reply within fourth month                                   |          |
| 1255                              | 2,010        | 2255 1,005 Extension for reply within fifth month                                  |          |
| 1401                              | 330          | 2401 165 Notice of Appeal  |          |
| 1402                              | 330          | 2402 165 Filing a brief in support of an appeal                                    |          |
| 1403                              | 290          | 2403 145 Request for oral hearing  |          |
| 1451                              | 1,510        | 1451 1,510 Petition to institute a public use proceeding                           |          |
| 1452                              | 110          | 2452 55 Petition to revive – unavoidable   |          |
| 1453                              | 1,330        | 2453 665 Petition to revive - unintentional  |          |
| 1501                              | 1,330        | 2501 665 Utility issue fee (or reissue)  |          |
| 1502                              | 480          | 2502 240 Design issue fee  |          |
| 1503                              | 640          | 2503 320 Plant issue fee   |          |
| 1460                              | 130          | 1460 130 Petitions to the Commissioner   |          |
| 1807                              | 50           | 1807 50 Processing fee under 37 CFR 1.17(q)  |          |
| 1806                              | 180          | 1806 180 Submission of Information Disclosure Stmt                                 |          |
| 8021                              | 40           | 8021 40 Recording each patent assignment per property (times number of properties) | 40.00    |
| 1809                              | 770          | 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))               |          |
| 1810                              | 770          | 2810 385 For each additional invention to be examined (37 CFR 1.129(b))            |          |
| 1801                              | 770          | 2801 385 Request for Continued Examination (RCE)                                   |          |
| 1802                              | 900          | 1802 900 Request for expedited examination of a design application                 |          |
| Other fee (specify)               |              |  |          |
| *Reduced by Basic Filing Fee Paid |              | <b>SUBTOTAL (3)</b>  |          |
|                                   |              | <b>(\$)</b>  |          |
|                                   |              | 40.00  |          |

**SUBMITTED BY**

(Complete if applicable)

|                   |   |                                   |        |           |                |                |
|-------------------|---|-----------------------------------|--------|-----------|----------------|----------------|
| Name (Print/Type) | Steven I. Weisburd  | Registration No. (Attorney/Agent) | 27,409 | Telephone | (212) 896-5470 |                |
| Signature         |  |                                   |        |           | Date           | April 12, 2004 |